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DRAFT

Transitions: Recommendation for Transitioning the Use of Cryptographic Algorithms and Key Lengths

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Transitioning the Use of Cryptographic Algorithms and Key Lengths

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Abstract

At the start of the 21st century, the National Institute of Standards and Technology (NIST) began the task of providing cryptographic key management guidance, which includes defining and implementing appropriate key management procedures, using algorithms that adequately protect sensitive information, and planning ahead for possible changes in the use of cryptography because of algorithm breaks or the availability of more powerful computing techniques. NIST Special Publication (SP) 800-57, Part 1 was the first document produced in this effort, and includes a general approach for transitioning from one algorithm or key length to another. This Recommendation (SP 800-131A) provides more specific guidance for transitions to the use of stronger cryptographic keys and more robust algorithms.

Keywords

cryptographic algorithm, digital signatures, encryption, hash function, key agreement, key derivation functions, key management, key transport, key wrapping, message authentication codes, random number generation, security strength, transition.

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Transitions: Recommendation for Transitioning the Use of Cryptographic Algorithms and Key Lengths

1 Introduction

1.1 Background and Purpose

At the beginning of the 21st century, the National Institute of Standards and Technology (NIST) began the task of providing cryptographic key management guidance. This included lessons learned over many years of dealing with key management issues, and is intended to encourage the definition and implementation of appropriate key management procedures, to use algorithms that adequately protect sensitive information, and to plan ahead for possible changes in the use of cryptography because of algorithm breaks or the availability of more powerful computing techniques. General key management guidance, including the general approach for transitioning from one algorithm or key length to another, is addressed in Part 1 of Special Publication (SP) 800-57[1] [SP 800-57].

This Recommendation (SP 800-131A) is intended to provide more detail about the transitions associated with the use of cryptography by Federal government agencies for the protection of sensitive, but unclassified information. The Recommendation addresses the use of algorithms and key lengths

SP 800-131A was originally published in January 2011. This revision updates the transition guidance provided in the previous version; these changes are listed in Appendix C. The most significant difference is the deprecation of the non-approved key-agreement and key-transport schemes through December 31, 2017, and the intent to disallow them thereafter.

Although transition dates are provided in [SP 800-57], this document (i.e., SP 800-131A) is intended to provide more detailed information that deals with the realities associated with an orderly transition. Note that an upper-date limit is not provided herein for many of the algorithms and key lengths discussed; that information is provided in [SP 800-57], and should be considered valid unless different guidance is provided in the future.

1.2 Useful Terms for Understanding this Recommendation

1.2.1 Security Strengths

Some of the guidance provided in [SP 800-57] includes the definition of security strengths, the association of the **approved** algorithms and key lengths with these security strengths, and a projection of the time frames during which the algorithms and key lengths could be expected to provide adequate security. Note that the length of the cryptographic keys is an integral part of these determinations.

[1] SP 800-57, Part 1: Recommendation for Key Management: General.

In [SP 800-57], the security strength provided for an algorithm with a particular key length[2] is measured in bits and is, basically, a measure of the difficulty of discovering the key. A security strength for each algorithm is provided in [SP 800-57]. This is the estimated maximum security strength that an algorithm with a particular key length can provide, given that the key used with that algorithm has sufficient entropy[3].

The appropriate security strength to be used depends on the sensitivity of the data being protected, and needs to be determined by the owner of that data (e.g., a person or an organization). For the Federal government, a minimum security strength of 112 bits is required for applying cryptographic protection (e.g., for encrypting or signing data). Note that prior to 2014, a security strength of 80 bits was **approved** for applying these protections, and the transitions in this document reflect this change to a strength of 112 bits. However, a large quantity of data was protected at the 80-bit security strength and may need to be processed (e.g., decrypted or have a digital signature verified). The processing of this already-protected data at the lower security strength is allowed, but a certain amount of risk must be accepted.

Specific key lengths are provided in [FIPS 186-4] for DSA, ECDSA and RSA digital signatures, in [SP 800-56A] for Diffie-Hellman and MQV key agreement, and in [SP 800-56B] for RSA key agreement and key transport. These key lengths are strongly recommended for interoperability, and their security strengths are provided in [SP 800-57]. However, other key lengths are commonly used. The security strengths associated with these key lengths may be determined using the formula provided in the [FIPS 140] Implementation Guideline [IG 7.5].

1.2.2 Definition of Terms

The terms "**approved**", "**acceptable**", "**deprecated**", "**restricted**", "**legacy-use**" and **"disallowed"** are used throughout this Recommendation.

- **Approved** is used to mean that an algorithm is specified in a FIPS or NIST Recommendation (published as a NIST Special Publication).
- **Acceptable** is used to mean that the algorithm and key length is safe to use; no security risk is currently known.
- **Deprecated** means that the use of the algorithm and key length is allowed, but the user must accept some risk. The term is used when discussing the key lengths or algorithms that may be used to apply cryptographic protection to data (e.g., encrypting or generating a digital signature).
- **Restricted** means that the use of the algorithm or key length is deprecated, and there are additional restrictions required to use the algorithm or key length for applying cryptographic protection to data (e.g., encrypting).
- **Legacy-use** means that the algorithm or key length may be used to *process* already protected information (e.g., to decrypt ciphertext data or to verify a digital signature), but there may be risk in doing so.

[2] The term "key size" is commonly used in other documents.

[3] Entropy is a measure of the amount of disorder, randomness or variability in a closed system.

- **Disallowed** means that the algorithm or key length is no longer allowed for the indicated use.

The use of algorithms and key lengths for which the terms deprecated, restricted and legacy-use are listed require that the user must accept some risk that increases over time. If a user determines that the risk is unacceptable, then the algorithm or key length is considered disallowed, from the perspective of that user. It is the responsibility of the user or the user's organization to determine the level of risk that can be tolerated for an application and its associated data and to define any methods for mitigating those risks.

Other cryptographic terms used in this Recommendation are defined in the documents listed in Appendix B.

2 Encryption and Decryption Using Block Cipher Algorithms

Encryption is a cryptographic operation that is used to provide confidentiality for sensitive information, and decryption is the inverse operation. Several block cipher algorithms have been **approved** for use by the Federal government:

- TDEA (often referred to as Triple DES) is specified in [SP 800-67], and has two key lengths, known as two-key TDEA and three-key TDEA. Three-key TDEA is the stronger of the two variations.
- SKIPJACK was **approved** in [FIPS 185]. However, approval for the use of SKIPJACK is being withdrawn, as its security strength is now considered inadequate.
- AES is specified in [FIPS 197] and has three **approved** key lengths: 128, 192 and 256 bits.

See [SP 800-57] for more information about the security strengths provided by these algorithms.

The approval status of the block cipher encryption/decryption algorithms is provided in Table 1.

Table 1: Approval Status of Symmetric Algorithms Used for Encryption and Decryption

Algorithm	Use
Two-key TDEA Encryption	Restricted through 2015 Disallowed after 2015
Two-key TDEA Decryption	Legacy-use
Three-key TDEA Encryption and Decryption	Acceptable
SKIPJACK Encryption	Disallowed
SKIPJACK Decryption	Legacy-use
AES-128 Encryption and Decryption	Acceptable
AES-192 Encryption and Decryption	Acceptable
AES-256 Encryption and Decryption	Acceptable

Two-key TDEA encryption:

Through December 31, 2015, the use of two-key TDEA for encryption is **restricted**: the total number of blocks of data encrypted with the same cryptographic key **shall not** be greater than 2^{20} (note that for this algorithm, a block is the 64-bit block of a TDEA encryption operation).

After December 31, 2015, the use of two-key TDEA for encryption is **disallowed.**

Two-key TDEA decryption:

Decryption using two-key TDEA is allowed for **legacy-use**.

SKIPJACK encryption and decryption:

The use of SKIPJACK for encryption is **disallowed**.

The use of SKIPJACK for decryption is allowed for **legacy-use**.

AES and three-key TDEA encryption and decryption:

The use of AES-128, AES-192, AES-256 and three-key TDEA is **acceptable**.

3 Digital Signatures

Digital signatures are used to provide assurance of origin authentication and data integrity. These assurances are sometimes extended to provide assurance that a party in a dispute (the signatory) cannot repudiate (i.e., refute) the validity of the signed document; this is commonly known as non-repudiation. The digital signature algorithms **approved** in [FIPS 186-4] are DSA, ECDSA and RSA.

The generation of a digital signature on data requires the use of 1) a cryptographic hash function that operates on the data to be signed, and 2) the use of a cryptographic key and a signing algorithm to generate a signature on the output of the hash function (and, by extension, the data that is intended to be signed). This section addresses the use of the cryptographic keys used with the signing algorithm; discussions of the hash function to be used during the generation of digital signatures are provided in Section 9. The details of the security strengths of the algorithms and the key lengths used can be found in [SP 800-57].

Note that the security strength of digital signatures is determined by the security strength of both the cryptographic key with the signing algorithm, and the cryptographic hash function used.

Table 2 provides the approval status of the algorithms and key lengths for the generation and verification of digital signatures by the Federal government.

Table 2: Approval Status of Algorithms Used for Digital Signature Generation and Verification

Digital Signature Process	Use[4]	
Digital Signature Generation	< 112 bits of security strength: DSA: $\|p\| < 2048$ OR $\|q\| < 224$ RSA: $\|n\| < 2048$ ECDSA: $\|n\| < 224$	Disallowed
	≥ 112 bits of security strength: DSA: $\|p\| \geq 2048$ AND $\|q\| \geq 224$ RSA: $\|n\| \geq 2048$ ECDSA: $\|n\| \geq 224$	Acceptable
Digital Signature Verification	< 112 bits of security strength: DSA[5]: $((512 \leq \|p\| < 2048)$ OR $(160 < \|q\| < 224))$ RSA: $1024 \leq \|n\| < 2048$ ECDSA: $160 \leq \|n\| < 224$	Legacy-use
	≥ 112 bits of security strength: DSA: $\|p\| \geq 2048$ AND $\|q\| \geq 224$ RSA: $\|n\| \geq 2048$ ECDSA: $\|n\| \geq 224$	Acceptable

Digital signature generation:

[4] $|p|$, $|q|$, and $|n|$ are used to denote the bit length of p, q, and n, respectively.

[5] The lower bound for the originally approved use of DSA was provided in FIPS 186-2.

Key lengths providing less than 112 bits of security strength **shall not** be used to generate digital signatures.

Key lengths providing at least 112 bits of security are **acceptable** for the generation of digital signatures using **approved** algorithms.

Digital signature verification:

Key lengths providing less than 112 bits of security using **approved** digital signature algorithms for verifying digital signatures are allowed for **legacy-use**.

Key lengths providing at least 112 bits of security using **approved** digital signature algorithms are **acceptable** for the verification of digital signatures.

4 Random Bit Generation

Random numbers are used for various purposes, such as the generation of keys, nonces and authentication challenges. Several deterministic random bit generator (DRBG) algorithms have been **approved** for use by the Federal government. SP 800-90A [SP 800-90A] includes three **approved** DRBG algorithms: HASH_DRBG, HMAC_DRBG and CTR_DRBG.

A previous version of [SP 800-90A] included a fourth algorithm, DUAL_EC_DRBG, whose use is now disallowed for Federal applications. Several other algorithms that were previously approved for random number generation are also now deprecated and will be disallowed after 2015: the random number generators specified in [FIPS 186-2], in American National Standard (ANS) X9.31-1998 [X9.31] and in ANS X9.62-1998 [X9.62].

The current approval status for DRBGs is provided in Table 3.

Table 3: Approval Status of Algorithms Used for Random Bit Generation

Description	Use
HASH_DRBG, HMAC_DRBG and CTR_DRBG	Acceptable
DUAL_EC_DRBG	Disallowed
RNGs in FIPS 186-2, ANS X9.31 and ANS X9.62-1998	Deprecated through 2015 Disallowed after 2015

RBGs that are compliant with the 2015 revision of SP 800-90A are **acceptable** for generating random bits.

The use of the Dual_EC_DRBG is **disallowed**.

Until December 31, 2015, the use of the RNGs specified in FIPS 186-2, [X9.31] and the 1998 version of [X9.62] is **deprecated**. After 2015, these RNGs are **disallowed**.

5 Key Agreement Using Diffie-Hellman and MQV

Key agreement is a technique that is used to establish symmetric keys between two entities that intend to communicate, whereby both parties contribute

information to the key agreement process. Two families of key agreement schemes are defined and have been **approved** in [SP 800-56A]: Diffie-Hellman (DH) and Menezes-Qu-Vanstone (MQV). Each has been defined over two different mathematical structures: finite fields and elliptic curves. Key agreement includes two steps: the use of an appropriate DH or MQV "primitive" to generate a shared secret, and the use of a key derivation method (KDM) to generate one or more keys from the shared secret. [SP 800-56A] contains **approved** DH and MQV primitives and **approved** KDMs for key agreement.

Other key agreement schemes that are not specified in SP 800-56A are allowed by the FIPS 140 Implementation Guideline [IG D.8]; these will be discussed below as the **deprecated** schemes. They will become **disallowed** after 2017.

Table 4 contains the approval status for DH and MQV key agreement schemes.

Table 4: Approval Status for SP 800-56A Key Agreement (DH and MQV) Schemes

Scheme	Use[6]	
SP 800-56A DH and MQV schemes using finite fields	< 112 bits of security strength: $\|p\| < 2048$ OR $\|q\| < 224$	Disallowed
	≥ 112 bits of security strength: $\|p\| \geq 2048$ AND $\|q\| \geq 224$	Acceptable
SP 800-56A DH and MQV schemes using elliptic curves	< 112 bits of security strength: $160 \leq \|n\| < 224$	Disallowed
	≥ 112 bits of security strength: $\|n\| \geq 224$ AND $\|h\|$ as specified in Table 5	Acceptable
Non-compliant DH and MQV schemes using finite fields	< 112 bits of security strength: Either $\|p\| < 2048$ OR $\|q\| < 224$	Disallowed

[6] $|p|$, $|q|$, $|n|$ and $|h|$ are used to denote the bit length of p, q, n and h, respectively.

Scheme	Use[6]	
	≥ 112 bits of security strength: $\|p\| \geq 2048$ AND $\|q\| \geq 224$	Deprecated through 2017 Disallowed after 2017
Non-compliant DH and MQV schemes using elliptic curves	< 112 bits of security strength: $\|n\| < 224$ bits	Disallowed
	≥ 112 bits of security strength: $\|n\| \geq 224$	Deprecated through 2017 Disallowed after 2017

SP 800-56A DH and MQV schemes using finite fields:

The use of the finite field schemes in SP 800-56A is **acceptable** if |p| ≥ 2048 bits and |q| ≥ 224 bits. Otherwise, these schemes are **disallowed**.

SP 800-56A DH and MQV schemes using elliptic curves:

In [SP 800-56A], five parameter sets are defined: EA – EE. All of them except for EA define acceptable ECC parameter sizes. The acceptable values for $|n|$ and $|h|$ are provided in the following table.

Table 5: EC Parameter Sets

	EB	EC	ED	EE
Length of *n*	224-255	256-383	384-511	512+
Maximum bit length of cofactor *h*	14	16	24	32

Non-compliant DH and MQV schemes using finite fields:

The use of these schemes is **disallowed** if $|p| < 2048$ bits or $|q| < 224$ bits.

Through December 31, 2015, the use of these schemes is **deprecated** if $|p| \geq 2048$ bits and $|q| \geq 224$ bits. All of these schemes will become **disallowed** after 2017.

Non-compliant DH and MQV schemes using elliptic curves:

The use of these schemes is **disallowed** if $|n| < 224$ bits.

Through December 31, 2015, the use of these schemes is **deprecated** if $|n| \geq 224$ bits. All of these schemes will become **disallowed** after 2017.

6 Key Agreement and Key Transport Using RSA

[SP 800-56B] specifies the use of RSA for both key agreement and key transport. Key agreement is a technique in which both parties contribute information to the key agreement process. Key transport is a key-establishment technique in which only one party determines the key. Some protocols that include key transport schemes are provided in [IG D.9]; these will be discussed below as the non-56B-compliant schemes. Note that in [IG D.9] key transport is often referred to as key wrapping. Note also that while there are implementations of RSA-based Key Transport schemes that are not compliant with [SP 800-56B], there are no approved or allowed RSA-based Key Agreement schemes that are not compliant with [SP 800-56B].

Guidance on **approved** key lengths for RSA is provided in [SP 800-56B]. Table 6 provides the approval status.

In the case of key transport keys (i.e., the keys used to encrypt other keys for transport), this Recommendation (SP 800-131A) applies to both the encryption and decryption of the transported keys.

Table 6: Approval Status for the RSA-based Key Agreement and Key Transport Schemes

Scheme	Use	
SP 800-56B Key Agreement and Key Transport schemes	$\|n\| < 2048$	Disallowed
	$\|n\| \geq 2048$	Acceptable
Non-56B-compliant Key Transport schemes	$\|n\| < 2048$	Disallowed
	$\|n\| \geq 2048$	Deprecated through 2017 Disallowed after 2017

SP 800-56B RSA Key Agreement and Key Transport schemes:

The use of these schemes is **disallowed** if $|n| < 2048$ bits.

The use of these schemes is **acceptable** if $|n| \geq 2048$ bits.

Non-56B-compliant RSA Key Transport schemes:

The use of these schemes is **disallowed** if $|n| < 2048$ bits.

Through December 31, 2017, the use of these schemes is **deprecated** if $|n| \geq 2048$ bits.

The use of these schemes is **disallowed** after December 31, 2017.

7 Key Wrapping

Key wrapping is the encryption of keying material by a symmetric key with integrity protection. [SP 800-38F] specifies three algorithms for key wrapping that use block ciphers: KW and KWP, which use AES, and TKW, which uses TDEA.

[SP 800-38F] also approves the CCM and GCM authenticated-encryption modes specified in [SP 800-38C] and [SP 800-38D] for key wrapping, as well as combinations of an approved encryption mode with an approved authentication method.

Table 7 provides the approval status of the block cipher algorithms used for key wrapping.

Table 7: Approval Status of Block Cipher Algorithms Used for Key Wrapping

Algorithm	Use
Key wrap using two-key TDEA	Restricted through 2015 Disallowed after 2015
Key unwrap using two-key TDEA	Legacy-use
Key wrap and unwrap using AES and three-key TDEA using any approved key-wrapping method	Acceptable
Block cipher key-wrapping methods not approved by [SP 800-38F]	Disallowed after 2017

Two-key TDEA:

Through December 31, 2015, the use of two-key TDEA for key wrapping is **restricted**: the total number of blocks of data wrapped with the same cryptographic key **shall not** be greater than 2^{20} (note that for this algorithm, a block is the 64-bit block of a TDEA encryption operation).

Two-key TDEA **shall not** be used to wrap keying material after December 31, 2015.

The use of two-key TDEA for unwrapping keying material using **approved** methods is allowed for **legacy-use**.

AES and three-key TDEA:

AES and three-key TDEA are **acceptable** for both the wrapping and unwrapping of keying material using **approved** methods.

Symmetric-key wrapping methods not approved by [SP 800-38F]:

Symmetric-key-wrapping methods that are not compliant with [SP 800-38F] are **disallowed** after December 31, 2017.

8 Deriving Additional Keys from a Cryptographic Key

[SP 800-108] specifies key derivation functions that use a cryptographic key (called a key derivation key) to generate additional keys.

Table 8 provides the approval status of the key lengths used for key derivation.

Table 8: Approval Status of the Key Lengths UsedTransitions for a Key Derivation Function (KDF)

Algorithm	Use	
HMAC-based KDF	Acceptable	
CMAC-based KDF	Two-key TDEA-based KDF	Deprecated through 2015 Disallowed after 2015
	AES-and Three-key TDEA	Acceptable

HMAC-based KDF:

The use of HMAC-based KDFs is **acceptable** using an **approved** hash function, including SHA-1. See Section 10 for discussions of the key lengths used with HMAC.

CMAC-based KDF:

The use of two-key TDEA as the block cipher algorithm in a CMAC-based KDF is **deprecated** through December 31, 2015.

Two-key TDEA **shall not** be used to derive keying material after December 31, 2015.

The use of AES and three-key TDEA as the block cipher algorithm in a CMAC-based KDF is **acceptable**.

9 Hash Functions

Seven **approved** hash functions are specified in [FIPS 180-4], and four additional **approved** hash functions are specified in [FIPS 202]. The security strengths for hash functions are dependent on their use, and this information is provided in [SP 800-57]. Additional discussions about the different uses of the SHA-1 and SHA-2 hash functions specified in [FIPS 180-4] are provided in [SP 800-107], while discussions about the SHA-3 hash functions specified in [FIPS 202] are provided in that FIPS.

Table 9 provides the approval status of the **approved** hash functions.

Table 9: Approval Status of Hash Functions

Hash Function	Use	
SHA-1	Digital signature generation	Disallowed, except in a TLS handshake
	Digital signature verification	Legacy-use
	Non-digital signature applications	Acceptable
SHA-2 family (SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224 and SHA-512/256)	Acceptable for all hash function applications	

SHA-3 family hash functions (SHA3-224, SHA3-256, SHA3-384, and SHA3-512)	Acceptable for all hash function applications

SHA-1 for digital signature generation:

SHA-1 may be used for digital signature generation in the Transport Layer Security (TLS) handshake (see [SP 800-52] for more information). For all other applications, SHA-1 **shall not** be used for digital signature generation.

SHA-1 for digital signature verification:

For digital signature verification, SHA-1 is allowed for **legacy-use**.

SHA-1 for non-digital signature applications:

For all other hash function applications, the use of SHA-1 is **acceptable**. The other applications include HMAC, Key Derivation Functions (KDFs), Random Bit Generation, and hash-only applications (e.g., hashing passwords and using SHA-1 to compute a checksum, such as the approved integrity technique specified in Section 4.6.1 of [FIPS 140]).

SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, and SHA-512/256:

The use of these hash functions is **acceptable** for all hash function applications.

SHA3-224, SHA3-256, SHA3-384, and SHA3-512:

The use of these hash functions is **acceptable** for all hash function applications.

10 Message Authentication Codes (MACs)

Two types of message authentication code mechanisms using symmetric keys have been **approved** for use: those based on hash functions, and those based on block-cipher algorithms. [FIPS 198-1] specifies a keyed-hash message authentication code (HMAC) that uses a hash function; [SP 800-107] provides additional guidance on the uses of HMAC, whether using SHA-1, SHA-2 or SHA-3. Block cipher modes for generating MACs are specified in [SP 800-38B] and [SP 800-38D]. The CMAC mode specified in [SP 800-38B] uses either AES or TDEA; the CCM and GMAC modes specified in [SP 800-38C] and [SP 800-38D], respectively, use AES.

Figure 10 provides the approval status for the approved MAC algorithms.

Table 10: Approval Status of MAC Algorithms

MAC Algorithm	Use	
HMAC Generation	Key lengths < 112 bits	Disallowed
	Key lengths ≥ 112 bits	Acceptable
HMAC Verification	Key lengths < 112 bits	Legacy-use
	Key lengths ≥ 112 bits	Acceptable

CMAC Generation	Two-key TDEA	Restricted through 2015 Disallowed after 2015
	AES and Three-key TDEA	Acceptable
CMAC Verification	Two-key TDEA	Legacy-use
	AES and TDEA	Acceptable
CCM and GMAC Generation	TDEA	Not defined
	AES	Acceptable
CCM and GMAC Verification	TDEA	Not defined
	AES	Acceptable

HMAC Generation:

Any **approved** hash function may be used.

Keys less than 112 bits in length are **disallowed** for HMAC generation.

The use of key lengths ≥ 112 bits is **acceptable**.

HMAC Verification:

The use of key lengths < 112 bits is allowed for **legacy-use**.

The use of key lengths ≥ 112 bits is **acceptable.**

CMAC Generation:

Through December 31, 2015, the use of two-key TDEA for CMAC generation is **restricted**: the total number of blocks of data using the same cryptographic key **shall not** be greater than 2^{20} (note that for this algorithm, a block is the 64-bit block of a TDEA encryption operation).

The use of two-key TDEA for CMAC generation is **disallowed** after December 31, 2015.

The use of AES or three-key TDEA is **acceptable**.

CMAC Verification:

The use of two-key TDEA for CMAC verification is allowed for **legacy-use**.

The use of AES or three-key TDEA is **acceptable**.

CCM and GMAC Generation and Verification:

The use of TDEA (either two-key or three-key TDEA) is not defined for CCM or GMAC.

The use of CCM or GMAC is **acceptable** when using AES.

Appendix A: Mitigating Risk When Using Algorithms and Keys for Legacy-Use

Certain algorithms and key sizes are allowed for legacy-use when removing or verifying the cryptographic protection already applied to sensitive information (e.g., decrypting ciphertext or verifying a digital signature or message authentication code). However, a user must accept that the protection of the information may no longer be as good as desired.

A.1 Decryption and Key Unwrapping Using Block Cipher Key Algorithms (e.g., Two-key TDEA)

Sensitive information may continue to need confidentiality protection beyond the date when the algorithm and key length used to protect that information are no longer considered adequate.

Block Cipher algorithms use the same key for encryption to produce ciphertext data as must be used to decrypt the ciphertext data back to the original plaintext data. However, since the algorithm and key length used to encrypt the information are no longer considered secure, those entities using the algorithm to decrypt the ciphertext data should consider that an adversary may be capable of determining the key that was used for encryption. If the adversary has access to the ciphertext data and can determine the key, then the data no longer has reliable confidentiality protection. That is, the owner of the sensitive information should consider the information to no longer be protected (i.e., the information should be considered as being in plaintext form).

Several scenarios need to be considered when evaluating whether or not the information is or will remain secure.

1. If the ciphertext information was made available to an adversary (e.g., the ciphertext was transmitted over the Internet), the ciphertext may have been recorded by the adversary. In such a case, there is a possibility that the adversary can determine the key for decrypting the ciphertext, thus exposing the sensitive information. The remaining items assume that this situation is not the case or that the probability is sufficiently low that other measures to further protect the information are warranted.
2. If the ciphertext data is protected from exposure to potential attack (e.g., the ciphertext data is saved in secure storage), then the confidentiality of the information as encrypted using the now-insecure algorithm or key length may remain valid.
3. If the ciphertext data is re-encrypted or rewrapped[7] using a stronger algorithm or key length, then the confidentiality of the sensitive information will remain valid as long as the stronger algorithm remains secure.

[7] Decrypted or unwrapped using the original algorithm and key to produce the original plaintext, and then encrypting or wrapping the plaintext using another algorithm and key.

4. If the ciphertext data needs to be made publicly available (e.g., transmitted) during the period in which the algorithm and key length are only allowed for legacy-use, then the information must be re-encrypted or super-encrypted[8] using a more secure algorithm and key length.

A.2 Verification of Message Authentication Codes (MACs) Using CMAC

A message authentication code (MAC) may need to remain verifiable and valid beyond the date when the algorithm and key length used to generate the MAC are no longer considered adequate.

As in the case of block cipher algorithms used for encryption, the same key is used to generate the MAC as must be used for verification of that MAC. Since the algorithm and key length used to generate that MAC are no longer considered secure, an entity that verifies a MAC using a no-longer-secure algorithm and key length should assume that an adversary may be capable of determining the key that was used for MAC generation. During the "legacy-use" period, the adversary may be assumed to be capable of determining the MAC key and generating MACs on new messages or substituting more beneficial messages (beneficial to the adversary) that produce the same MAC.

In order for the MACed data to continue to be verifiable as valid during the "legacy-use" period, both the MACed data and the MAC need to be protected against possible modification or substitution (e.g., placed in secure storage).

[8] The ciphertext is encrypted or wrapped using an additional algorithm and key.

Appendix B: References

FIPS and SP documents are available at http://csrc.nist.gov/publications/, except for the FIPS 140-2 Implementation Guidance, which is available at http://csrc.nist.gov/groups/STM/cmvp/standards.html#02.

[FIPS 140] Federal Information Processing Standard (FIPS) 140-2, Security Requirements for Cryptographic Modules, with Change Notices, December 2002.

[FIPS 180-4] Federal Information Processing Standard (FIPS) 180-4, Secure Hash Standard (SHS), March 2012.

[FIPS 185] Federal Information Processing Standard (FIPS) 185, Escrowed Encryption Standard, Feb 1994.

[FIPS 186-2] Federal Information Processing Standard (FIPS) 186-2, Digital Signature Standard, January 2000.

[FIPS 186-4] Federal Information Processing Standard (FIPS) 186-4, Digital Signature Standard, July 2013.

[FIPS 197] Federal Information Processing Standard (FIPS) 197, Advanced Encryption Standard, November 2001.

[FIPS 198-1] Federal Information Processing Standard (FIPS) 198-1, Keyed-Hash Message Authentication Code (HMAC), July 2008.

[FIPS 202] Federal Information Processing Standard (FIPS) 202, (Draft) SHA-3 Standard: Permutation-Based Hash and Extendable-Output Functions, March 2014.

[IG X.Y] Implementation Guidance for FIPS 140-2 and the Cryptographic Module Validation Program, where X.Y is the section number.

[SP 800-38B] Special Publication (SP) 800-38B, Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication, May 2005.

[SP 800-38C] Special Publication (SP) 800-38C, Recommendation for Block Cipher Modes of Operation: the CCM Mode for Authentication and Confidentiality, May 2004.

[SP 800-38D] Special Publication (SP) 800-38D, Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC, November 2007.

[SP 800-38F] Special Publication (SP) 800-38F, Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping, December 2012.

[SP 800-52] Special Publication (SP) 800-52, Guidelines for the Selection, Configuration, and Use of Transport Layer Security (TLS) Implementations, April 2014.

[SP 800-56A] Special Publication (SP) 800-56A, Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography, May 2013.

[SP 800-56B] Special Publication (SP) 800-56B, Recommendation for Pair-Wise Key Establishment Using Integer Factorization, September 2014.

[SP 800-57] Special Publication (SP) 800-57, Part 1, Recommendation for Key Management: General, July 2012.

[SP 800-67] Special Publication (SP) 800-67, Recommendation for the Triple Data Encryption Algorithm (TDEA) Block Cipher, January 2012.

[SP 800-90A] Special Publication (SP) 800-90A, Recommendation for Random Number Generation Using Deterministic Random Bit Generators, Rev. 1, June 2015.

[SP 800-107] Special Publication (SP) 800-107, Recommendation for Applications Using Approved Hash Algorithms, August 2012.

[SP 800-108] Special Publication (SP) 800-108, Recommendation for Key Derivation Using Pseudorandom Functions, October 2009.

Non-NIST References:

[X9.31] American National Standard (ANS) X9.31-1998, Digital Signatures Using Reversible Public Key Cryptography for the Financial Services Industry (rDSA), Withdrawn, but available from X9.org.

[X9.62] American National Standard X9.62-1998, Public Key Cryptography for the Financial Services Industry: The Elliptic Curve Digital Signature Algorithm (ECDSA).

Appendix C: Summary of Changes Between this Version of SP 800-131A and the Previous Version

The following is a list of non-editorial changes from the 2011 version of this document. Changes indicated by a yellow highlight are entirely new requirements (the changes were not reflected in the previous version of SP 800-131A.

1. The use of two-key TDEA for applying cryptographic protection (e.g., encryption, key wrapping or CMAC generation in KDFs) is restricted through December 31, 2015. Its use for processing already-protected information (e.g., decryption, key unwrapping and MAC verification) is allowed for **legacy use**.
2. The use of SKIPJACK for encryption is **disallowed** for encryption, but allowed for decryption of already encrypted information.
3. The use of keys that provide less than 112 bits of security strength for digital signature generation are no longer allowed; however, their use for digital signature verification is allowed for the verification of already-generated digital signatures.
4. The use of the DUAL_EC_DRBG, formerly specified in [SP 800-90A], is no longer allowed.
5. The use of the RNGs specified in [FIPS 186-2], [X9.31] and [X9.62] are **deprecated** until December 31, 2015, and **disallowed** thereafter.
6. The use of keys that provide less than 112 bits of security strength for key agreement are now **disallowed**.
7. The use of non-approved key-agreement schemes for key is **deprecated** through December 31, 2017, and **disallowed** thereafter.
8. The use of non-approved key-transport schemes is **deprecated** through December 31, 2017, and is **disallowed** thereafter.
9. Non-approved key-wrapping methods are disallowed after December 31, 2017.
10. The use of SHA-1 for digital signature generation is **disallowed** (except in the TLS handshake protocol); however, its use for digital signature verification is allowed for the verification of already-generated digital signatures.
11. The SHA-3 family of hash functions specified in [FIPS 202] have been included in Section 9 as **acceptable**.
12. The use of HMAC keys less than 112 bits in length is no longer allowed for the generation of a MAC; however, they may be used for the verification of already-generated MACs.